



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/374,936	08/16/99	OPPERMANN	H STK-077

021323 0242/0910
TESTA HURWITZ & THIBEAULT
HIGH STREET TOWER
125 HIGH STREET
BOSTON MA 02110

NOT ASSIGNED

1643

DATE MAILED:

09/10/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☐ non-small entity is \$ 130.

- ☐ 1. The statutory basic filing fee is:
☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Patent Examination Division (703) 308-1000

BEST AVAILABLE COPY



Docket No. STK-077

Applicant H an Oppermann, et al.

Serial No. 09/374,936

Filed August 16

Receipt is hereby acknowledged of the

1. Transmittal Letter (in duplicate)
 2. Petition for Extension of Time (in duplicate)
 3. Response to Notice to File Missing Parts of Application (in duplicate)
 4. Notice to File Missing Parts of Application
 5. Declaration and Power of Attorney for Patent Application
 6. Checks : \$1,360.00 and \$130.00
- Dated** March 10, 2000

Filed in connection with the above case.

**COMMISSIONER OF PATENTS
AND TRADEMARKS**

03443.022

Express Mail Label
No EV133105182US

THE CITIBANK PRIVATE BANK
153 EAST 53RD STREET, SUITE NO. 2411
NEW YORK, NY 10043



FISH & NEAVE
1251 AVENUE OF THE AMERICAS
NEW YORK, NY 10020

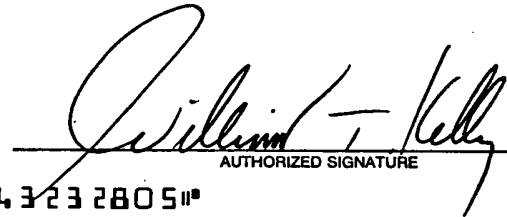
45218

1-8/210
280

DATE	CHECK NO.	CHECK AMOUNT
March 10, 2000	45218	\$1,360.00

PAY One Thousand Three Hundred Sixty & 0/100

TO THE ORDER OF Commissioner of Patents and Trademarks
Washington, DC 20231


AUTHORIZED SIGNATURE

⑈045218⑈ ⑆021000089⑆ 400 43232805⑈

REMITTANCE ADVICE

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NEW YORK, NY 10020

VENDOR NO.

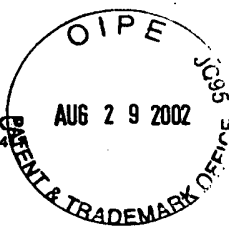
VENDOR NAME

TRANSACTION DATE	REFERENCE	GROSS AMOUNT	DEDUCTION	NET AMOUNT
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Trademarks PTO Fee for Four Month Extension of Time
3443.022

CHECK DATE	CHECK NO.	TOTAL GROSS	TOTAL DEDUCTION	CHECK AMOUNT
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NEW YORK, NY 10020

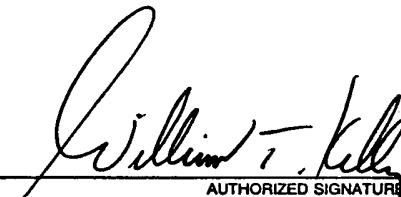
1-8/210
280

45217

DATE	CHECK NO.	CHECK AMOUNT
March 10, 2000	45217	\$130.00

PAY One Hundred Thirty & 0/100

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AUTHORIZED SIGNATURE

⑈045217⑈ ⑆021000089⑆ 400 45232805⑈

REMITTANCE ADVICE

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1251 AVENUE OF THE AMERICAS
NEW YORK, NY 10020

VENDOR NO.

VENDOR NAME

TRANSACTION DATE	REFERENCE	GROSS AMOUNT	DEDUCTION	NET AMOUNT
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Trademarks PTO Fee for Outstanding Surcharge
3443.022

CHECK DATE	CHECK NO.	TOTAL GROSS	TOTAL DEDUCTION	CHECK AMOUNT
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REV. 11/98
For Other Than A Small Entity

Docket No. STK-077

Examiner : Not Yet Assigned
Group Art Unit : 1643
Applicants : Hermann Oppermann, et al.
Application No. : 09/374,936
Filed : August 16, 1999
For : MODIFIED PROTEINS AND DNAs OF THE TGF- β
SUPERFAMILY, INCLUDING MODIFIED
MORPHOGENIC PROTEINS

Hon. Assistant Commissioner
for Patents
Washington, D.C. 20231

New York, New York
March 10, 2000

TRANSMITTAL LETTER

Sir:

Transmitted herewith: [X] Response to Notice to
File Missing Parts; [X] Copy of Notice to File Missing
Parts; [X] Executed Declaration and Power of Attorney; [X]
Petition for Three-Month Extension of Time; to be filed in
the above-identified patent application.

FEE FOR ADDITIONAL CLAIMS

[X] A fee for additional claims is not required.

[] A fee for additional claims is required.

The additional fee has been calculated as shown below:

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEES
TOTAL CLAIMS	-	* =	X \$ 18 =	\$
INDEPENDENT CLAIMS	-	** =	X \$ 78 =	\$
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM			+ \$260 =	\$

* If less than 20, insert 20.

TOTAL \$

** If less than 3, insert 3.

[] A check in the amount of \$_____ in payment of the filing fee is transmitted herewith.

[X] The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16, in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge \$_____ to Deposit Account No. 06-1075 in payment of the filing fee. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

[X] The following extension is applicable to the Response filed herewith; [] \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); [] \$380.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); [] \$870.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); [X] \$1,360.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); \$1,850.00 within fifth month pursuant to 37 C.F.R. § 1.136(a).

[X] A check in the amount of [] \$110.00; [] \$380.00; [] \$870.00; [X] \$1,360.00; [] \$1,850.00 in payment of the extension fee is transmitted herewith.

[X] The Commissioner is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

[] Please charge the [] \$110.00; [] \$380.00; [] \$870.00; [] \$1,360.00; [] \$1,850.00; extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



James F. Haley, Jr. (Reg. No. 27,794)
Attorney for Applicants
Karen Mangasarian (Reg. No. 43,772)
Agent for Applicants
c/o FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000
Fax.: (212) 596-9090

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WASHINGTON, D.C. 20231 on


Claire A. Saintil


Signature of Person Signing



STK-077

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not Yet Assigned
Group : 1643
Applicants : Hermann Oppermann, et al.
Serial No. : 09/374,936
Filed : August 16, 1999
For : MODIFIED PROTEINS AND DNAs OF THE
TGF- β SUPERFAMILY, INCLUDING MODIFIED
MORPHOGENIC PROTEINS

New York, New York
March 10, 2000

Honorable Assistant Commissioner
for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

This is in response to the September 10, 1999
Notice to File Missing Parts ("Notice"), a copy of which
is enclosed herewith.

Applicants concurrently file herewith a
petition for a four-month extension of time for
responding to the Notice and have paid the required

extension fee. 37 C.F.R. §§ 1.17(a)(4) and 1.136(a).

With the extension, the time for responding is extended up to and including March 10, 2000.

To satisfy the requirements of the Notice, applicants enclose a Declaration and Power of Attorney signed by the inventors in compliance with 37 C.F.R. § 1.63 and enclose a check for \$130.00 in payment of the outstanding surcharge.

The Commissioner is hereby authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this response is enclosed.

Respectfully submitted,



James F. Haley, Jr. (Reg. No. 27,794)
Attorney for Applicants
Karen Mangasarian (Reg. No. 43,772)
Agent for Applicants
c/o FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000
Fax.: (212) 596-9090

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WASHINGTON, D.C. 20231 on


Claire J. Saintila


Signature of Person Signing

09-04-02

RCE/1690
PATENTS
STK-077
8/16/02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Examiner : D. Romeo
Group : 1647
Applicant : Herman Oppermann, et al.
Application No. : 09/374,936 Confirmation No.: 4340
Filed : August 16, 1999
For : MODIFIED PROTEINS AND DNAs OF THE TGF- β
SUPERFAMILY, INCLUDING MODIFIED MORPHOGENIC
PROTEINS

RECEIVED

SEP 09 2002

TECH CENTER 1600/2900



BOX RCE

Hon. Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

New York, NY 10020
August 29, 2002

EXPRESS MAIL CERTIFICATION

Express Mail Label No. EV133105182US

Date of Deposit: August 29, 2002

I hereby certify that this certification and the
following papers and fees:

1. Request for Continued Examination (in duplicate);
2. Amendment and Reply to Restriction Requirement;
3. Petition Under 37 C.F.R. § 1.136(a) for Extension of Time (in duplicate);
4. Two (2) Checks in the amount of (i) \$740.00 for filing fees; and (ii) \$920.00 for Extension of Time; and
5. Return Postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Box RCE, Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

Name: CURTIS J. SAMUEL - VAN GOOD MAN

Express Mail Label: EV133105182US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. STK-077

Examiner David S. Romeo

Art Unit 1647

RECEIVED

SEP 09 2002

Box RCE
Hon. Commissioner for Patents
Washington, D.C. 20231

TECH CENTER 1600/2900

REQUEST FOR CONTINUED EXAMINATION

Sir:

This is a request for continued examination under 37 C.F.R. § 1.114, of pending prior Application No. 09/374,936, (Confirmation No. 4340), filed on August 16, 1999 for

MODIFIED PROTEINS AND DNAs OF THE TGF- β SUPERFAMILY,
INCLUDING MODIFIED MORPHOGENIC PROTEINS.

1. ☐ Please enter the Amendment Pursuant to 37 C.F.R. § 1.116 filed on _____ in Application No. _____.
2. ☐ Please consider the arguments in the Appeal Brief or Reply Brief filed on _____ in Application No. _____.
3. ☒ An Amendment/Reply is enclosed.
4. ☐ Affidavit(s)/Declaration(s) is/are enclosed.
5. ☐ An Information Disclosure Statement is enclosed.
6. ☐ A suspension of action on the above-identified patent application is requested under 37 C.F.R. § 1.103(c) for a period of _____ months.
7. ☒ A check in the amount of \$740.00 in payment of the fee under 37 C.F.R. § 1.17(e) is enclosed.
8. ☐ Please charge \$740.00 to Deposit Account No. 06-1075 in payment of the fee under 37 C.F.R. § 1.17(e). A duplicate copy of this Request is enclosed.
9. ☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.17(e) in connection with this Request, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this Request is enclosed.
10. ☒ Please grant a ☐ one-month, ☐ two-month, ☒ three-month, ☐ four-month, extension of time under 37 C.F.R. § 1.136(a) to the Examiner's Action of March 11, 2002 in the above-identified patent application.
11. ☒ A check in the amount of \$ 920.00 in payment of the extension-of-time fee is enclosed.

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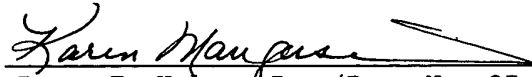
12 1000

740.00 00

Express Mail Label
No EV133105182US

12. ☒ The Director is hereby authorized to charge payment of any additional extension-of-time fees required under 37 C.F.R. § 1.17 in connection with this paper, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this Request is enclosed.
13. ☐ Please charge the extension-of-time fee of \$ _____ to Deposit Account No. 06-1075. A duplicate copy of this Request is enclosed.

August 29, 2002


James F. Haley, Jr. (Reg. No. 27,794)
Karen Mangasarian (Reg. No. 43,772)
☒ Attorney or agent of record
☐ Filed under § 1.34(a)

Fish & Neave
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000



Docket No. STK-077

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not yet assigned
Group : 1643
Applicants : Hermann Oppermann, et al.
Serial No. : 09/374,936
Filed : August 16, 1999
For : MODIFIED PROTEINS AND DNAs OF THE TGF- β
SUPERFAMILY, INCLUDING MODIFIED
MORPHOGENIC PROTEINS

New York, New York
March 10, 2000

Hon. Assistant Commissioner
for Patents
Washington, D.C. 20231

PETITION UNDER 37 C.F.R. § 1.136(a)
FOR EXTENSION OF TIME

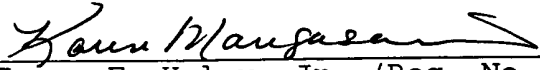
Sir:

Pursuant to 37 C.F.R. § 1.136(a), applicants hereby petition for a four-month extension of time to respond to the Notice to File Missing Parts of Application dated September 10, 1999. With the extension, a response is due on or before March 10, 2000. A check in the amount of \$1360.00, in payment of the fee required under 37 C.F.R. § 1.17(a)(4), is enclosed herewith.

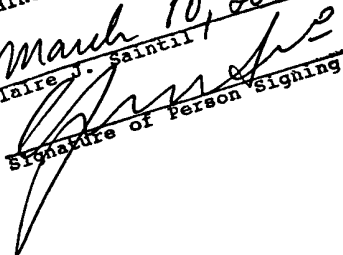
The Commissioner is authorized to charge any additional fees that may be due, or to credit overpayment of

same, to Deposit Account No. 06-1075. A duplicate copy of this Petition is enclosed herewith.

Respectfully submitted,


James F. Haley, Jr. (Reg. No. 27,794)
Attorney for Applicants
Karen Mangasarian (Reg. No. 43,772)
Agent for Applicants
c/o FISH & NEAVE
1251 Avenue of the Americas
New York, New York 10020
Tel.: (212) 596-9000
Fax.: (212) 596-9090

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WASHINGTON, D.C. 20231 on

March 10, 2002
Claire J. Saintil

Signature of Person Signing